

34th Annual Options Industry Conference
Terranea Resort
Rancho Palos Verdes, CA
Schedule of Events

Tuesday, May 10, 2016

2:00 pm – 6:00 pm Registration, featuring refreshments

6:00 pm – 8:00 pm ISE Pre-Conference Reception

Wednesday, May 11, 2016

7:00 am – 6:00 pm Registration

8:30 am – 1:30 pm Golf Outing – “Link up at The Links!”

10:00 am – 1:00 pm Optional Event: Biking the Strand

11:00 am – 1:00 pm Optional Event: Farm-to-Terranea

12:00 pm – 1:00 pm STA Options Committee Meeting

1:30 pm – 3:00 pm SIFMA Options Committee Meeting

3:00 pm – 3:10 pm **Welcome & Opening Remarks**
Boris Ilyevsky,, Managing Director, ISE Options

3:10 pm – 3:15 pm **Introduction to Opening Keynote**
Gary Katz, President and CEO, ISE

3:15 pm – 3:45 pm **Opening Keynote**

Stephen Luparello, Director of Division of Trading and Markets
U.S. Securities and Exchange Commission (SEC)

3:45 pm – 4:30 pm **Panel Discussion: Washington Outlook**
This discussion will include Congressional agenda, regulatory focus, and the overall impact of the political environment on financial services.

Moderator: Jim Hall,, Director of Government Relations, OCC
De’Ana Dow, Partner and General Counsel, Capitol Counsel
Andrew Shore, Partner, Jochum Shore & Trossevin PC
Jeff Strunk , Partner, Forbes-Tate

4:30 pm – 5:00 pm **State of the Industry**
Henry Schwartz,, President, Trade Alert, LLC

5:00 pm – 6:00 pm Exhibit Hall Grand Opening Reception

6:00 pm – 9:00 pm Exchange Dinner

Thursday, May 12, 2016

7:00 am – 12:30 pm Registration

7:00 am – 12:00 pm Exhibit Hall Open

7:30 am – 8:30 am Breakfast in Exhibit Hall

8:30 am– 9:45 am **Panel Discussion: Market Structure Debate**

This panel will be in debate format pitting one side against another in ASSIGNED hot topics in market structure, such as use of auction mechanisms, HFT, and market integrity. There will be audience interaction to determine the debate winner.

Moderator: Jeanine Hightower, SVP, Business Development, ISE

Team 1:

Benjamin Londergan, Executive Managing Director and Head of Options Trading and Technology, Convergenx

Liz Martin, Managing Director and Head of Global Equities Management, Goldman Sachs & Co.

Slade Winchester, Director, Citigroup Derivatives Markets

Team 2:

Kevin Murphy, Managing Director, Citigroup Derivatives Markets

Sapna Patel, Head of Americas Market Structure and Liquidity Strategy, Morgan Stanley

Jon Werts, Managing Director, Bank of America Merrill Lynch

- 10:00 – 10:45 am **Breakout Session A: Future of the Industry – Technology**
 The focus of this breakout panel will be on the future of the industry from a technology perspective such as with new types of brokers, technology entrepreneurship, and trading tools.
- Moderator: Mark Longo, Founder of the Options Alliance and Options Insider
 Jesper Alfredsson, President Americas, Itiviti AB
 Nick Howard, Owner, QuikStrike
 Karan Sood, CEO, Vest Financial
- Breakout Session B: Future of the Industry – Business and Regulatory**
 The focus of this breakout panel will be on future of the industry from a business and regulatory perspective, such as the impact of capital requirements, new tax proposals and other regulatory initiatives on the health of the industry.
- Manisha Kimmel, Chief Regulatory Officer- Wealth Management, Thomson Reuters
 Patrick Hickey, Head of Market Structure, Optiver
 Joseph Sellitto, CEO of Global Execution Brokers & Chair of SIFMA Options Committee
 Jim Toes, President & CEO, Security Traders Association
- 10:45 am – 11:15 am Break in Exhibit Hall
- 11:15 am – 11:30 am **Ivers Riley – In Memoriam**
 Gary Katz, President and CEO, International Securities Exchange
- 11:30 am – 12:00 pm **Lynn Vavreck, Keynote Speaker: 2016 Election**
 Professor of Political Science, UCLA
- 12:30 pm – 4:30 pm Cielo Point Pool Party
- 6:00 pm – 7:00 pm Exhibit Hall Reception
- 7:00 pm – 10:00 pm Dinner at The Meadows
- Friday, May 13, 2016**
- 7:30 am – 12:00 pm Registration
- 8:00 am – 12:00 pm Exhibit Hall Open
- 7:30 am – 8:30 am Breakfast in Exhibit Hall

8:30 am – 9:45 am	<p>Options Industry Leaders Panel</p> <p>Moderator: Scot Warren, Executive Vice President, Business and Product Development, OCC</p> <p>Edward Boyle, CEO, BOX Options Exchange</p> <p>Ivan Brown, Head of Options, NYSE Group</p> <p>Shelly Brown, Executive Vice President, Strategic Planning and Operations, MIAX Options</p> <p>Kevin Kennedy, Vice President, Head of U.S. Options, Nasdaq</p> <p>Andrew Lowenthal, Sr. Vice President, Business Development, Chicago Board Options Exchange</p> <p>Kapil Rathi, VP, Head of Bats Options, Bats Global Markets</p>
9:45 am – 10:15 am	Break in Exhibit Hall
10:15 am – 11:15 am	<p>Exchange Updates</p> <p>Bryan Christian, SVP, Head of U.S. Sales, BATS Global Markets</p> <p>Patty Schuler, Vice President, Business Development, BOX Options Exchange</p> <p>William Looney, Director, Global Services and Head of CBOE New York, CBOE</p> <p>Bill Fontes, Director, Business Development, International Securities Exchange</p> <p>Joseph S. Bracco, Senior Vice President, Head of Sales, MIAX Options</p> <p>Greg Ferrari, Managing Director, Global Trading & Market Services, NASDAQ</p> <p>Mark Krommenhoek, Director, NYSE Options, NYSE</p>
11:15 am – 11:30 am	Passing of the Hammer/2017 OIC Location Announcement
11:30 am – 12:30 pm	<p>Closing Keynote Speaker</p> <p>Tom Arnold</p>
12:30 pm – 1:00 pm	Closing Remarks
2:00 pm – 4:00 pm	Optional Event: Kayorkle the Catalina Channel
2:00 pm – 5:00 pm	Optional Event: Charity 5K Fun Run/Walk
6:00 pm – 9:00 pm	Offsite Closing Dinner